

Docket: 33503/US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named

Inventor:

Michael Fantuzzi

Application No.:

10/674,268

Filing Date:

September 29, 2003

Title:

Solubilized CoQ-10

Examiner:

Unknown

Group Art Unit:

Unknown

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97(B)

Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450 I hereby certify that this document is being sent via First Class U.S. mail addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 23day of 0.0708ER2003.

(Signature)

Dear Sir:

Pursuant to 37 CFR § 1.97(b), the references listed on the attached Form PTO-1449 (1 sheet, submitted in duplicate) are brought to the attention of the Examiner for consideration in connection with the examination of the above-identified patent application. Copies of the identified references are enclosed as necessary. This IDS is being filed within three months of the filing date of a national application. In accordance with 37 CFR § 1.97(b), no statement or fee is required.

Respectfully submitted,

DORSEY & WHITNEY LLP Customer Number 25763

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PTO/SB/08A (10/01) (modified) . U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Substitute for form 1449A/PTO **Application Number** 10/674,268 INFORMATION DISCESSURE Filing Dat **September 29, 2003** First Nam d Inv ntor Micha I Fantuzzi STATEMENT BY APPLICANT **Art Unit** Unknown (use as many sheets as necessary) **Examiner Name** Unknown 1 1 **Sheet** of **Attorney Docket Number** 33503/US **U.S. PATENT DOCUMENTS** Pages, Columns, Lines, **DOCUMENT NUMBER** Cite **Publication Date** Name of Patentee or Where Relevant Passages or Initials No. MM-DD-YYYY Number - Kind Code (if known) Applicant of Cited Document Relevant Figures Appear 6,616,942 9/9/2003 Udel US-6,203,818 3/20/2001 Vester US-6,069,167 5/30/2000 Sokol US-4/25/2000 6,054,136 Farah et al. US-6,020,383 2/1/2000 Stone et al. US-5,500,416 3/19/1996 Mivazawa et al. US-4,824,669 4/25/1989 Folkers et al. US-US-**FOREIGN PATENT DOCUMENTS** FOREIGN PATENT DOCUMENT TRANSLATION Country Number - Kind Code Pages, Columns, Lines, **Publication Date** *Examiner Cite Name of Patentee or Where Relevant Passages Code: (if known) Initial No. MM-DD-YYYY Applicant of Cited Document or Relevant Figures Appear NO 0 888 774 EP 1/7/1999 Soft Gel П Technologies, Inc. DE 3512054 10/2/1986 Hermes Fabrik 冈 **Pharmazeutischer Praeparate Franz** Gradinger G.m.b.H. OTHER DOCUMENTS - NON-PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the TRANSLATION Cite *Examiner item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue Initials No. number(s), publisher, city and/or country where published YES NO R. CHOPRA et al, "A New Coenzyme Q10 Preparation with Enhanced Bioavailability", П FASEB Journal, 11 (3), pp. A586, 1997, Abstract. M. WEIS, et al., "Bioavailability of Four Oral Coenzyme Q10 Formulations in Healthy Volunteers", Molec. Aspects. Med., Vol. 15, (Supplement) pp. s273-s280, 1994. http://www.pformulate.com/pformsoftgel.htm

EXAMINER SIGNATURE

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.